Docket No. IFF-79



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Xicants : LEE, et al

Serial No.: 10/823,033

Art Unit: 1743

Filed

: April 13, 2004

Examiner: TO BE DETERMINED

For

STABLE FRAGRANCE MICROCAPSULE AND PROCESS FOR

USING SAME

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on

August / 2, 2004

JOSEPH F. LEIGHTNER (Name of applicant, assignee, or Registered Representative)

August /7, 2004

(Date of Signature)

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

of the above-identified application, no additional fee is required. In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with  $\square$  the first or ☐second After Final Submission, therefore: Certification in Accordance with §1.97(e) is set forth below; or Please charge Deposit Account No. 10-0750/ П the fee of \$240.00 as set forth in §1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore: Certification in Accordance with §1.97(e) is set forth below; or  $\Box$ Please charge Deposit Account No. 10-0750/ the fee of \$240.00 as set forth in  $\S1.17(p)$ . In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification in Accordance with §1.97(e) as set forth below and the fee of

the above identified application as set forth in §1.491, or

before the mailing date of a first Office Action on the merits

\$130.00 as set forth in \$1.17(i)(1).

$\boxtimes$	Copies of each of the references listed on the						
attached E	Form PTO-1449 with the exception of U.S. Patents						
and/or Pat	tent Applications are enclosed herewith. Applicants						
respectful	ly submit that these references are not required						
inasmuch a	as this application is filed after June 30, 2003.						
1449 are e	Copies of references listed on the attached Form PTO-enclosed herewith EXCEPT THAT:						
	In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.						
	In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:						
	Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .						
	If any of the foregoing publications are not						
available	to the Examiner, Applicant will endeavor to supply						
copies at	the Examiner's request.						

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Please charge any deficiency or credit any overpayment to Deposit Account No. 12-1295. This form is submitted in triplicate.

Respectfully submitted,

JOSEPH F. LEIGHTNEF

Re**g**. Nb. 34,209

Attorney for Applicants

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FAX: (212) 708-7253

joseph.leightner@iff.com

Date: August /7, 2004

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. IFF-79	Serial No. 10/823,033 .		
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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	2,800,457	7/23/57	Green, et al			
	3,041,288	6/26/62	Anthony			
	3,415,758	12/10/68	Powell, et al			
	3,505,432	1/28/66	Neuwald			
	3,516,846	6/23/70	Matson			
	3,516,941	6/23/70	Matson			
	3,686,025	8/22/72	Morton			
	3,861,870	1/21/75	Edwards, et al			
	3,870,542	3/11/75	Ida, et al			

### FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No
	0 327 927	1/31/89	Europe			
	0 376 385	12/29/89	Europe			
	0 672 409	5/21/97	Europe			x
	0 737 183	9/26/01	Europe			
	1 061 124	12/20/00	Europe			
	95/18096	7/6/95	PCT			

		Lochhead, et al, Encyclopedia of Polymers and Thickeners for Cosmetics, Cosmetics & Toiletries, Vol. 108, May 1993, pp. 95-138
		Kashikl, On a New Type of Flocculant, Ind.Eng.Chem.Fundam., 1986, 25, pp. 120-125
		Wurzburg, et al, Modified Starches:Properties and Uses, CRC Press, Inc., Chapter 3-Cross- Linked Starches, Chapter 8-Cationic Starches and Chapter 10-Grafted Starches
		Barton, CRC Handbook of Polymer-Liquid Interaction Parameters and Solubility Parameters, CRC Press, Part I, Introduction
		Gmehling, et al, Vapor-Liquid Equilibria by UNIFAC Group Contribution.Revision and Extension.2, Ind.Eng.Chem.Process Des.Dev., 1982, 21, pp. 118-127
		Jacobson, Molecular Modeling Studies of Polymeric Transdermal Adhesives:Structure and Transport Mechanisms, Pharmaceutical Technology, September, 1999, pp. 120-

Examiner:	Date Considered
Examiner: Initial if citation considered, whether or not citat citation if not in conformance and not considered. Include cop	

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	LEE, et al				
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	4/13/04	1743			

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,081,384	3/28/78	Pracht			
	4,082,223	4/4/78	Nozawa			
	4,124,521	11/7/78	Jedzinak			
	4,145,184	3/20/79	Brain, et al			
	4,209,417	1/24/80	Whyte			
	4,234,627	11/18/80	Schilling			
	4,247,498	1/27/81	Castro			
	4,318,818	3/9/82	Letton, et al			
	4,387,090	6/7/83	Bolich, Jr.			

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	97/12022	4/3/97	PCT				
	97/12027	4/3/97	PCT				
	01/05358	1/25/01	PCT				х
	01/40430	6/7/01	PCT				
	01/49817	7/7/01	PCT				х
	01/62376	8/30/01	PCT				Х

	Lee, et al, Microencapsulation of Fragrant Oil via in situ polymerization of pH and melamine-formaldehyde molar ratio, J.Microencapsulation, 2002, Vol. 19, No. 5, pp. 559-569
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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,395,541	7/26/83	Jacquet, et al			
	4,402,856	9/6/83	Schnoring, et al			
	4,424,134	1/3/84	Sissin, et al			
	4,428,869	1/31/84	Munteanu, et al			
	4,446,032	5/1/84	Munteanu, et al			
	4,446,042	5/1/84	Leslie			
	4,514,461	4/30/85	Woo			
	4,515,705	5/7/85	Moeddel			
	4,521,541	6/4/85	Rutherford, et al			

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Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No
	02/074430	9/26/02	PCT			
	02/085420	10/31/02	PCT			
	02/34225	5/2/02	PCT			
	02/34226	5/2/02	PCT			
	03/002699	1/9/03	PCT			


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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,534,891	8/13/85	Boden, et al			
	4,537,706	8/27/85	Severson, Jr.			
	4,537,707	8/27/85	Severson, Jr.			
	4,539,135	9/3/85	Ramachandran, et al			
	4,550,862	11/5/85	Barker, et al			
	4,561,998	12/31/85	Wertz, et al			
	4,597,898	7/1/86	Vander Meer			
	4,597,962	7/1/86	Grollier, et al			
	4,673,568	6/16/87	Grollier, et al			

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	2 006 709	5/10/79	U.K.			
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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,681,806	7/21/87	Matkan, et al			
	4,705,681	11/10/87	Maes, et al			
	4,714,562	12/22/87	Roselle, et al			
	4,731,243	3/15/88	Lindauer, et al			
	4,767,547	8/30/88	Straathof, et al			
	4,819,835	4/11/89	Tasaki			
	4,830,855	5/16/89	Stewart			
	4,917,920	4/17/90	Ono, et al			
	4,961,871	10/9/90	Michael			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,968,451	11/6/90	Scheibel, et al			
	4,973,422	11/27/90	Schmidt			
	5,066,419	11/19/91	Walley, et al			
	5,085,857	2/4/92	Reid, et al			
	5,112,688	5/12/92	Michael			
	5,137,646	8/11/92	Schmidt, et al			
	5,154,842	10/13/92	Walley, et al			
	5,160,655	11/3/92	Donker, et al			
	5,169,552	12/8/92	Wise			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,188,753	2/23/93	Schmidt, et al			
	5,194,639	3/16/93	Connor, et al			
	5,232,769	8/3/93	Yamato, et al			
	5,237,035	8/17/93	O'Lenick, Jr., et al			
	5,275,755	1/4/94	Sebag, et al			
	5,288,417	2/22/94	Bauer, et al			
	5,288,431	2/22/94	Huber, et al			
	5,403,499	4/4/95	Kiefer, et al			
	5,411,671	5/2/95	Bauer, et al			,

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,458,809	10/17/95	Fredj, et al			
	5,458,810	10/17/95	Fredj, et al			
	5,460,752	10/24/95	Fredj, et al			
	5,466,802	11/14/95	Panandiker, et al			
	5,470,507	11/18/95	Fredj, et al			
	5,500,138	3/19/96	Bacon, et al			
	5,534,197	7/9/96	Scheibel, et al			
	5,540,853	7/30/96	Trinh, et al			
	5,545,340	8/13/96	Wahl, et al			

# FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No

# OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

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FORTH PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. Serial No. 1FF-79 10/823,033			
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	LEE, et al			
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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,545,350	8/13/96	Baker, et al			
	5,559,261	9/24/96	Sivik			
	5,562,849	10/8/96	Wahl, et al			
	5,565,145	10/15/96	Watson, et al			
	5,574,179	11/12/96	Wahl, et al			
	5,581,005	12/3/96	Perkins			
	5,597,936	1/28/97	Perkins, et al			
	5,618,523	4/8/97	Zysman, et al			
	5,661,118	8/26/97	Cauwet, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,665,822	9/9/97	Bitler, et al			
	5,674,832	10/7/97	Keys			
	5,679,630	10/21/97	Baeck, et al			
	5,703,030	12/30/97	Perkins, et al			
	5,703,034	12/20/97	Offschack, et al			
	5,705,464	1/6/98	Scheper, et al			
	5,726,144	3/10/98	Dewez, et al			
	5,731,278	3/24/98	Nair, et al			******
	5,756,436	5/26/98	Royce, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,759,990	6/2/98	Wahl, et al			
1	5,776,443	7/7/98	Vinski, et al			
	5,776,883	7/7/98	Vasudevan			
	5,783,302	7/21/98	Bitler, et al			
	5,837,661	11/17/98	Evans, et al			
	5,849,313	12/15/98	Fost, et al			
	5,877,145	3/2/99	Wahl, et al			
	5,902,781	5/11/99	Painter			
	5,914,307	6/22/99	DeNome, et al			

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	5,916,862	6/29/99	Morelli, et al			
	5,929,022	7/27/99	Velazquez			
	5,932,203	8/3/99	Coffindaffer, et al			
	5,935,561	8/10/99	Inman, et al			
	5,939,373	8/27/99	Haeggberg, et al			
	5,962,386	10/5/99	Scheper, et al			
	5,968,286	10/19/99	Crudele, et al			
	5,968,404	10/19/99	Trinh, et al			
	5,968,881	10/19/99	Haeggberg, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,990,065	11/23/99	Vinson, et al			
	6,001,343	12/14/99	Trinh, et al			
	6,017,871	1/25/00	Baeck, et al			
	6,020,294	2/1/00	Getty, et al			
	6,024,943	2/15/00	Ness, et al			
	6,057,404	5/2/00	Utecht, et al			
	6,071,122	5/30/00	Vinson, et al			
	6,071,569	6/6/00	Stambaugh			
	6,106,875	8/22/00	Soper, et al			

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	6,113,935	9/5/00	Rodson, et al			
	6,133,226	10/17/00	Knowlton, et al			
	6,146,621	11/14/00	Trinh, et al			
	6,162,423	12/19/00	Sebag, et al			
	6,180,594	1/30/01	Fender, et al			
	6,190,678	2/20/01	Hasenoehrl, et al			
	6,194,375	2/27/01	Ness, et al			
	6,200,554	3/13/01	Yeoh, et al			
	6,221,826	4/24/01	Surutzidis, et al			

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	6,248,315	6/19/01	Young, et al			
	6,255,367	7/3/01	Bitler, et al			
	6,261,483	7/17/01	Frank, et al			
	6,268,431	7/21/01	Snyder, et al			
	6,297,203	10/2/01	Guskey, et al			
	6,297,210	10/2/01	Hsu, et al			
	6,329,057	12/11/01	Dungworth, et al			
	6,335,315	1/1/02	Trinh, et al			
	6,355,234	3/12/02	Birtwistle, et al			

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	6,413,548	7/2/02	Hamer, et al			
	6,436,383	8/20/02	Murray			
	6,451,065	9/17/02	Trinh, et al			
	6,492,462	12/10/02	Bitler, et al			
	6,495,058	12/17/02	Frankenbach, et al			
	6,509,034	1/21/03	Calanchi, et al			
-	6,514,487	2/4/03	Barr			
	6,514,489	2/4/03	Shacknai, et al			
	6,514,504	2/4/03	Yen, et al			

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Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No

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Form PTO-1449	Docket No.	Serial No.
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	IFF-79	10/823,033
	Applicant	
	LEE, et al	
	Filing Date	Group Art Unit
	4/13/04	1743

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	6,514,918	2/4/03	Librizzi			
	6,514,923	2/4/03	Cheung, et al			
	6,517,588	2/11/03	Hopkinson			
	6,521,589	2/18/03	Demeyere, et al			
:	6,524,494	2/25/03	Hart, et al			
	6,528,046	3/4/03	Schmenger, et al			
	6,531,113	3/11/03	Mougin, et al			
	6,531,437	3/11/03	Ryan, et al			
	6,540,989	4/1/03	Janchitraponvej			

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	Filing Date	Group Art Unit		
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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	6,544,535	4/8/03	Sakurai, et al			
	6,551,604	4/22/03	Beck, et al			
	6,555,098	4/29/03	Murphy, et al			
	6,569,826	5/27/03	Chiaradonna, et al			
	6,592,813	7/15/03	Fox, et al			
	6,620,777	9/16/03	Heibel, et al			
	2002/0016269	2/7/02	Noda, et al			
	2003/0005522	1/9/03	Trinh, et al			
	2003/0013632	1/16/03	Santos, et al			

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	Applicant				
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	4/13/04	1743			

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	2003/0069164	4/10/03	Levinson			
	2003/0092600	5/15/03	Shepherd, Jr.			
	2003/0125222	7/3/03	Jahns, et al			
	2003/0158072	8/21/03	Goodson, et al			
	2003/0171246	9/11/03	Boeckh, et al			
	2003/0199412	10/23/03	Gupta, et al			
	2004/0071742	4/15/04	Popplewell, et al			
	2004/0071746	4/15/04	Popplewell, et al			
	2004/0072719	4/15/04	Bennett, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	2004/0072720	4/15/04	Brain, et al			
	Serial No. Popplewell, et al (IFF 10/718,368		Popplewell, et al (IFF-43)			11/20/03
	Serial No. 10/718,240		Popplewell, et al (IFF-56)			11/20/03
	Serial No. 10/706,888		Parekh, et al (IFF-71)			11/13/03
	10/718,239		Parekh, et al (IFF-70)			11/20/03
	2004/0142828	7/22/04	Popplewell, et al			
	2004/0138093	7/15/04	Brain, et al			
	4,406,816	9/27/83	Sliwka			
	4,708,267	11/24/87	Sieverding, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,460,805	10/24/95	Davis, et al			
	5,783,544	7/21/98	Trinh, et al			
	6,042,792	3/28/00	Shefer, et al			
	6,348,218	2/19/02	Hed, et al			
	6,379,658	4/39/02	Marano, et al			
	6,479,042	11/12/02	Nguyen, et al			
	6,479,043	11/12/02	Tietjen, et al			
	6,479,059	11/12/02	Montanari, et al			
	6,485,713	11/26/02	Bonda, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	6,491,902	12/10/02	Shefer, et al			
	6,491,933	12/10/02	Lorenzi, et al			
	6,497,860	12/24/02	Kawato, et al			
	6,528,013	3/4/03	Trinh, et al			
	6,531,160	3/11/03	Biatry, et al			
	6,545,084	4/8/03	Brown, et al			
	6,551,970	4/22/03	Decoster, et al			
	6,592,857	7/15/03	Lawson, et al			
	6,649,154	11/18/03	Yoshida, et al			

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	6,649,173	11/18/03	Arnaud, et al			
	6,649,175	11/18/03	Haslwanter, et al			
	6,649,178	11/18/03	Mohammadi, et al			
	6,649,578	11/18/03	O'Lenick, Jr.			
	6,649,579	11/18/03	Denton			
	2001/0008874	7/19/01	Igari, et al			
	2003/0180335	9/25/03	Ohmori, et al			
	4,464,271	8/7/84	Munteanu, et al			
	5,880,084	3/9/99	Ewbank, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	6,620,437	9/16/03	Ewbank, et al			
	6,652,766	11/25/03	Frankenbach, et al			
	6,653,277	11/25/03	Golz-Berner, et al			
	6,656,923	12/2/03	Trinh, et al			
	6,664,223	12/16/03	Zappone, et al			
_	6,667,287	12/23/03	Aszman, et al			
	6,693,065	2/17/04	Gentilhomme, et al			
	6,693,068	2/17/04	Ryan, et al			
	6,696,053	2/24/04	Ma, et al			

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	6,696,395	2/24/04	Woo, et al						
	6,696,402	2/24/04	Gosselink, et al						
	6,699,824	3/2/04	Dawson, et al						
	10/823,492		Brooks, et al (I	FF-72)			4/13/03		
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